

Sheldon Eisenberger (SE-2021)
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UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

FIDELITY BROKERAGE SERVICES LLC,

Plaintiff,

-against-

MARSHALL CARO, WALTER RAQUET,
INDII.COM USE, LLC and BILL ROTHFARB,

Defendants.

: Case No. 10-CV-5893 (BSJ)

RULE 7.1 STATEMENT

Pursuant to Rule 7.1 of the Federal Rules of Civil Procedure and to enable judges and magistrates of the court to evaluate possible disqualification or recusal, the undersigned counsel of record for Indii.com USE, LLC (“Indii”) (a private non-governmental party) certifies that there are no corporate parents or affiliates of that party which are publicly held, except the below

listed parties are strategic members of Indii and are or may be publicly held companies:

Strategic Member	Ownership Interest	Profits Interest
Bank of America	2.0%	2.0%
Bear Stearns	2.0%	2.0%
Citibank	2.0%	3.0%
Credit Suisse First Boston	2.0%	2.0%
Deutsche Bank	2.0%	1.0%
Goldman Sachs	2.0%	3.0%
J.P. Morgan	2.0%	2.0%
Knight	2.0%	2.0%
Lehman Brothers	2.0%	2.5%
Merrill Lynch	2.0%	3.0%
Morgan Stanley	2.0%	3.0%
Prudential	2.0%	2.0%
RBC	0.5%	1.0%
UBS	2.0%	2.5%
	26.5%	Profits Pool
		31.0%

Dated: New York, New York
 October 4, 2010

THE LAW OFFICE OF SHELDON EISENBERGER
*Attorneys for Defendants Marshall Caro and Indii.com
 USE, LLC*

By: /s/ Sheldon Eisenberger
 Sheldon Eisenberger (SE – 2021)
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TO: All Counsel (via ECF)